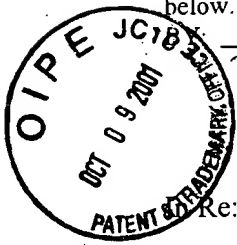


5/2/01 \$

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C., 20231, on the date indicated below.



Sheryl R. Neuman

DATE: October 7, 2001

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re:	Patent Application of	:
	Masaaki ANDO <i>et al.</i>	: Attention:
		: <b>Box Missing Parts</b>
Conf. No.:	7728	:
		:
Appln. No.:	09/899,649	:
		: Group Art Unit: 1723
Filed:	July 5, 2001	:
		:
For:	RUNNING METHOD AND TREATMENT	: Attorney Docket
	SYSTEM FOR SPIRAL WOUND	: No. 9982-021US
	MEMBRANE ELEMENT AND SPIRAL	: (1108US)
	WOUND MEMBRANE MODULE	:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

In response to the Notice to File Missing Parts dated August 27, 2001, we submit herewith the following:

- ☒ [X] Copy of the Notice to File Missing Parts of Application -- Filing Date Granted, mailed August 27, 2001.
- ☐ [ ] No Notice to File Missing Parts has been received.
- ☒ [X] Declaration and Power of Attorney.
- ☐ [ ] Verified English translation of application as filed.
- ☐ [ ] Priority document(s): Certified copy(ies) of patent application No(s)\_, filed in on from which priority is claimed under 35 U.S.C. § 119.
- ☐ [ ] Formal drawings: sheets
- ☒ [X] Information Disclosure Statement, PTO-1449, and cited references.
- ☐ [ ] Preliminary Amendment.
- ☐ [ ] Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ [ ] Computer readable copy    ☐ [ ] Paper Copy    ☐ [ ] Verified Statement
- ☐ [ ] Petition for Extension of Time along with requisite fee.
- ☐ [ ] Request for Refund.
- ☐ [ ] Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- ☐ [ ] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ [ ] an Independent Inventor, or ☐ [ ] a Small Business Concern, or ☐ [ ] a Non-Profit Organization.
- ☐ [ ] Other:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$370.			BASIC FEE: \$740	
Total	-20 =		X9	\$	OR	X18	\$
Independent	- 3=		X42	\$	OR	X84	\$
[ ] Multiple Dependent Claims Present			\$140	\$	OR	\$280	\$
			<b>TOTAL</b>	<b>\$</b>	OR	<b>TOTAL</b>	<b>\$</b>

☒ The following fees noted below are being paid.

☒ Surcharge of **\$130.00** for late filing of filing fee and/or Declaration and Power of Attorney.

☐ Surcharge of **\$130** for late filing of English translation.

☐ Extension of Time fee in the amount of \$\_\_\_\_.00 (Petition for Extension of Time is attached).

☐ Filing Fee in the amount of \$\_\_\_\_.00 calculated above.

☒ Enclosed is our firm's check in the amount of **\$130.00** for the above fee(s).

☒ The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-1017 (Billing No. 209982.0021) as noted below. A duplicate copy of this sheet is enclosed.

☐ The above-calculated fee(s) calculated totaling \$\_\_\_\_.00.

☒ Any overpayments or deficiencies in the above-calculated fee(s).

☒ Any additional fees required under 37 C.F.R. § 1.16 or § 1.17.

☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

#### CORRESPONDENCE ADDRESS

October 4, 2001

(Date)

By: William W. Schwarze

**WILLIAM W. SCHWARZE**

Registration No. 25,918

**AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**

One Commerce Square

2005 Market Street - Suite 2200

Philadelphia, PA 19103-7086

Telephone: (215) 965-1200

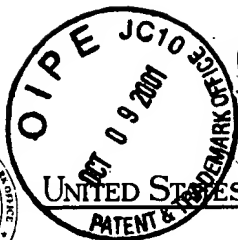
**Direct Dial: (215) 965-1270**

Facsimile: (215) 965-1210

E-Mail: [wschwarze@akingump.com](mailto:wschwarze@akingump.com)

WWS:sm

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/899,649	07/05/2001	Masaaki Ando	9982-21US (1108US)

CONFIRMATION NO. 7728

000570

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.  
ONE COMMERCE SQUARE  
2005 MARKET STREET, SUITE 2200  
PHILADELPHIA, PA 19103

## FORMALITIES LETTER



\*OC00000006477486\*

Date Mailed: 08/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 SDIRETA1 00000008 09899649

01 FC:105

130.00 OP